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# A Hybrid Wavelet Convolution Network with Sparse-Coding for Image Super-Resolution

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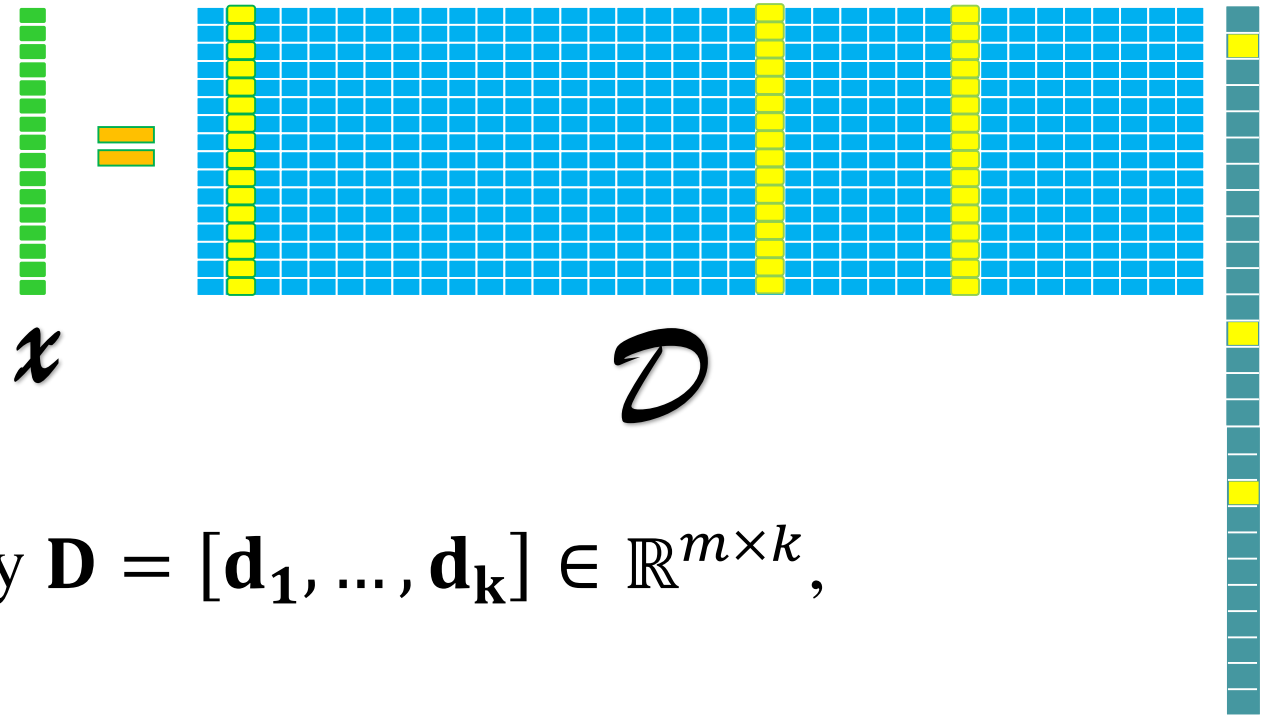


# Background——Sparse Representation

## ◆ *Sparse representation:*

Describe a signal as a linear combination of a few atoms from a pre-specified dictionary.

$$\mathbf{x} \approx \mathbf{D}\alpha,$$
$$\text{s.t. } \|\alpha\|_0 \ll m$$



where signal  $\mathbf{x} \in \mathbb{R}^m$ , dictionary  $\mathbf{D} = [\mathbf{d}_1, \dots, \mathbf{d}_k] \in \mathbb{R}^{m \times k}$ ,  
 $\alpha \in \mathbb{R}^k$  is sparse.

$\alpha$

# Background——Sparse Representation

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## ◆ *The quest for a dictionary:*

A good choice of the dictionary is crucial for the success of the sparse representation model.



*dictionary*

Analytic dictionaries: DCT, Wavelet, etc.

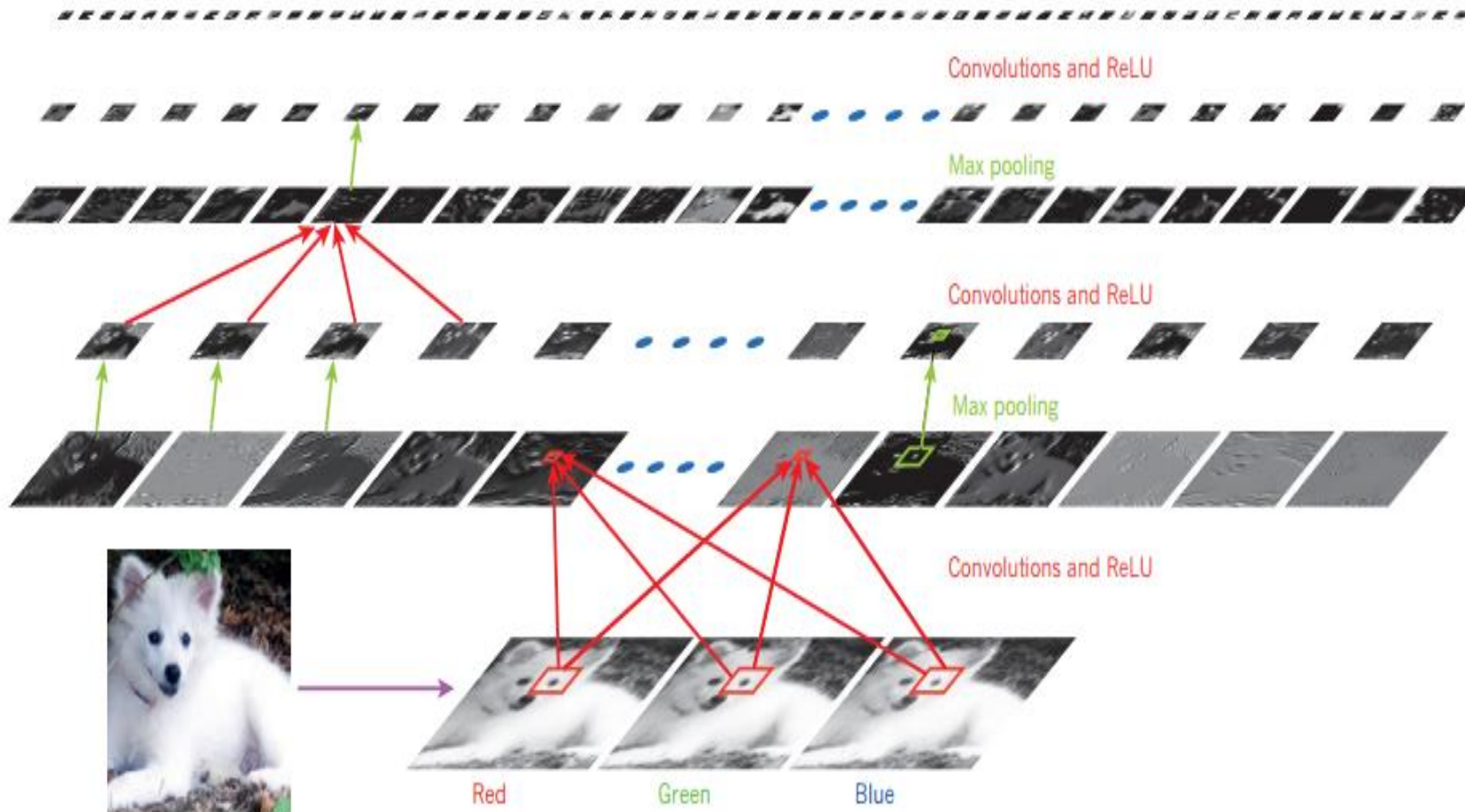
**Mathematical simplicity & Multi-scale & fast implementation;**  
**Limited expressiveness, simplistic for complex natural phenomena.**

Learned dictionaries: MOD, K-SVD, etc.

**Adaptive to specific data, better performance;**  
**Complex, costly to deploy unknown inner structure.**

How to incorporate two types of dictionaries efficiently?

# Background—Deep Learning



- Stacked convolution, pooling, and fully-connected layers
- **Superiority**
  - Hierarchical structure → features with various abstract levels
  - **State-of-the-art** in most of computer vision fields: classification, recognition, etc.
- **Inferiority**
  - Over 100M parameters → **train hard**
  - Highly nonlinearity: the property and optimal structure **not well understood**

(LeCun Y, Bengio Y, Hinton G. Deep learning[J]. Nature, 2015)

# Challenges

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- ◆ *Sparse representation:*

Dictionary: Fast implementation & Adaptivity

- ◆ *Deep learning:*

Optimize structure (reduce parameters) & Improve performance



A Hybrid Wavelet Convolution Network (HWCN)

# Contributions

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## 1. *Sparse representation:*

Provide an *end-to-end* and *adaptive* framework to implement sparse coding and reconstruction.

## 2. *Deep learning:*

Design *a novel structure* of deep networks, composed of predefined and learned convolution kernels, and it is *easier* to train. ← **fewer parameters**

## 3. *Image super-resolution:*

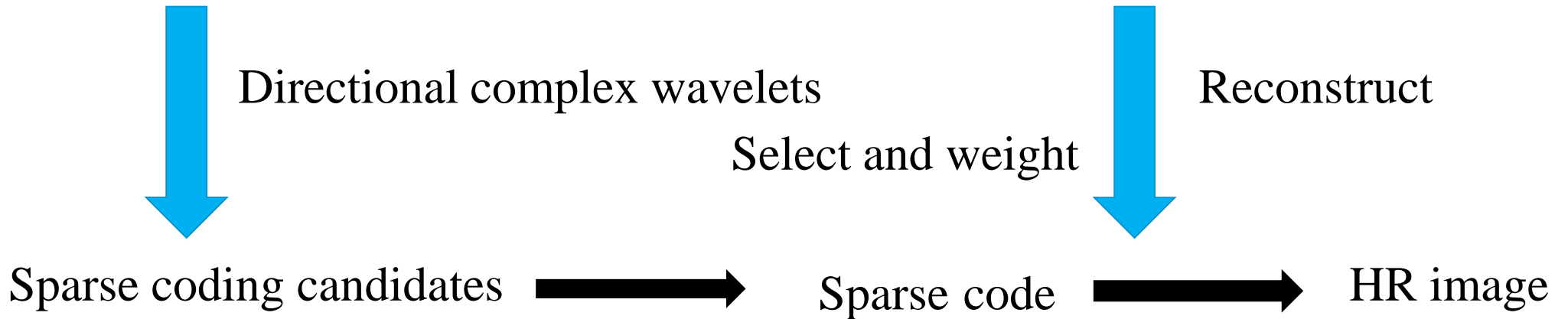
Provide another approach to achieve *state-of-the-art* performance and also enhance current performance.

# The Architecture of the HWCN

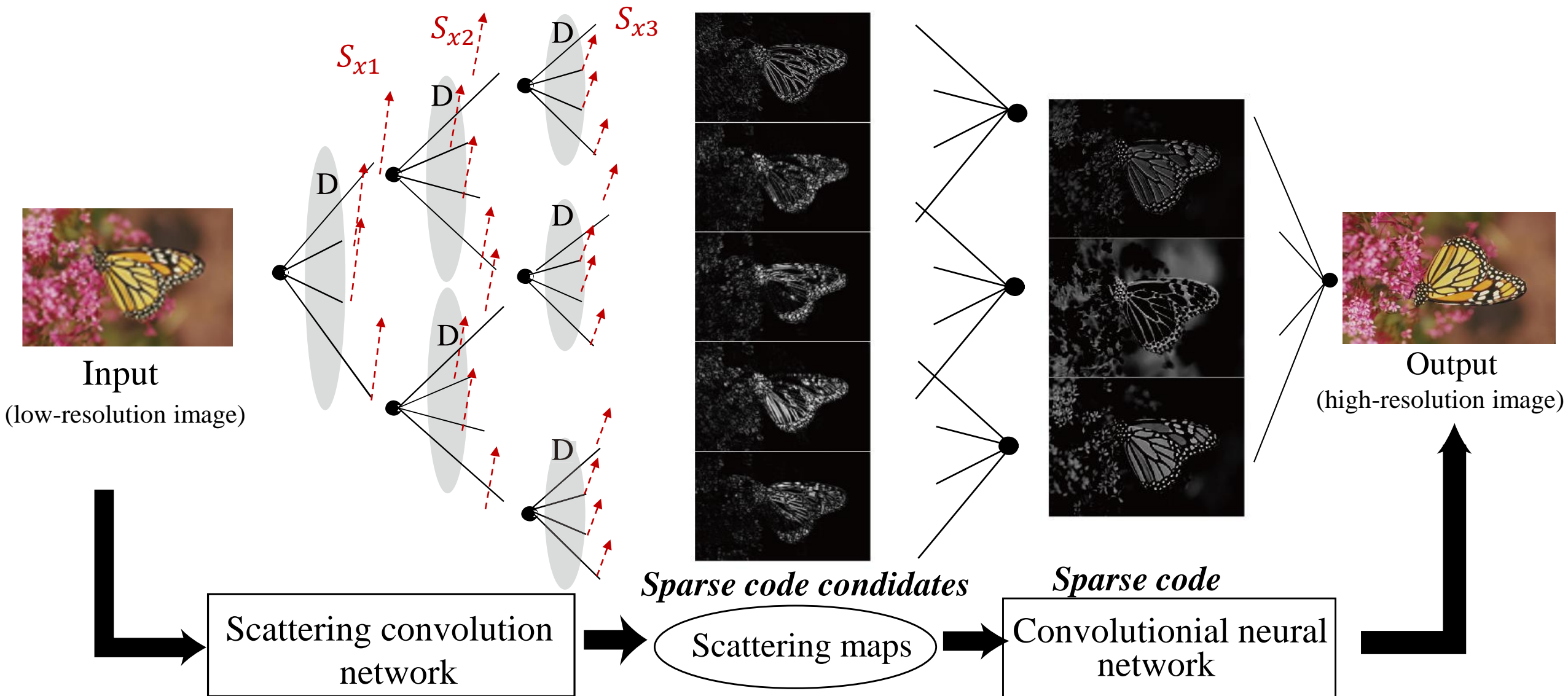
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◆ *A hybrid wavelet convolution network =*

**Scattering convolution network + Convolutional neural network**



# The Architecture of the HWCN

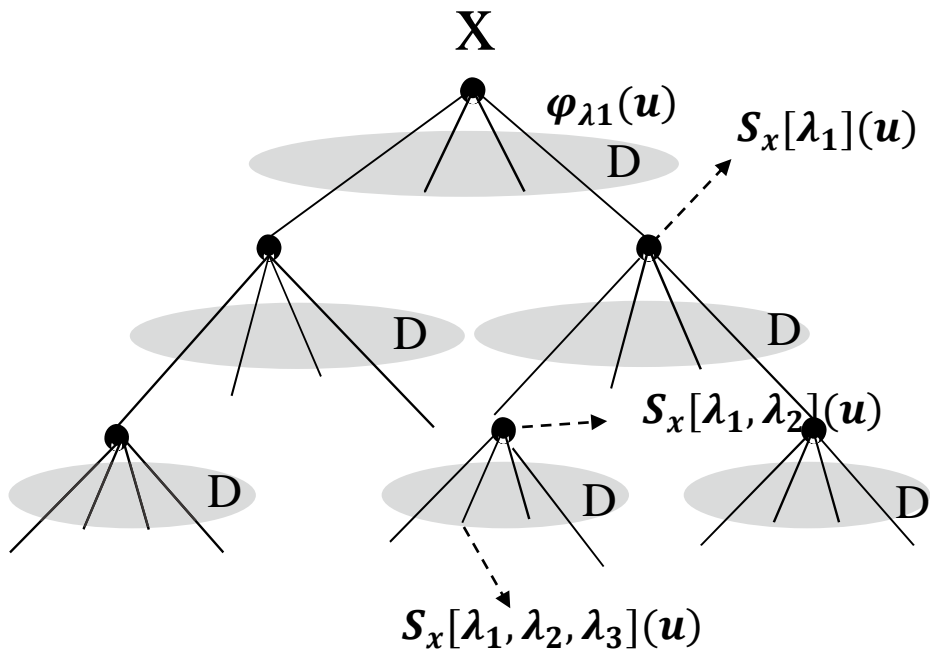




# The Scattering Convolution Component

## ◆ Scattering convolution network:

A revised version of *invariant scattering convolution networks* introduced by S.Mallat *et al.* in 2012.



$$S_x = \{S_x[p](u)\}_p$$

- Convolutional filter banks are defined by two-dimensional complex directional wavelets :

$$D = \{\psi_\lambda(u) : 2^{-2j} \psi(2^{-j} r^{-1} u)\}_{\lambda \in \Lambda},$$

with

$$\Lambda = \{\lambda = 2^{-j} r \mid j = 0, 1, \dots, J-1; \\ r = 0, 2\pi/L, \dots, 2(L-1)\pi/L\}$$

- Each wavelet in  $D$  defines a filter of *each node*, and each node performs *convolution* and *modulus* operations.
- From the root node to each node forms a path:

$$p = [\lambda_1, \lambda_2, \dots, \lambda_m]$$

which produces a scattering map

$$S_x[p](u) = |||x * \psi_{\lambda_1} | * \psi_{\lambda_2} | \cdots * \psi_{\lambda_m} |.$$

# The Scattering Convolution Component

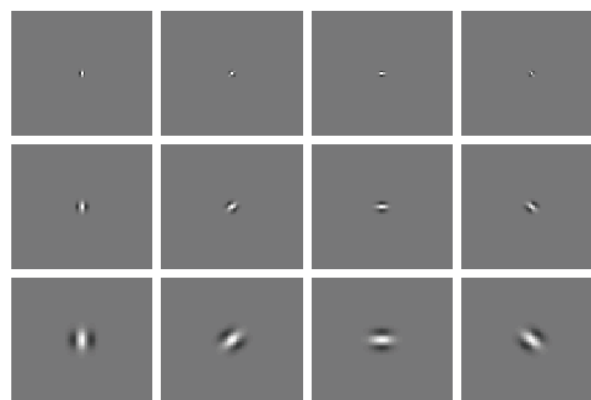
## ◆ Scattering convolution network:

### ● Frequency domain analysis

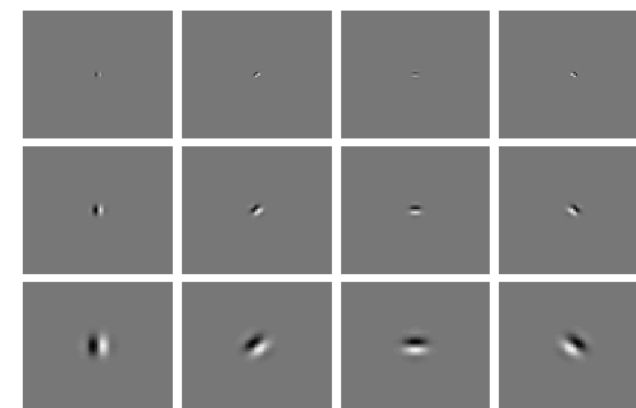
- Convolutional filter banks:

$$D = \{\psi_\lambda(u) : 2^{-2j}\psi(2^{-j}r^{-1}u)\}_{\lambda \in \Lambda},$$

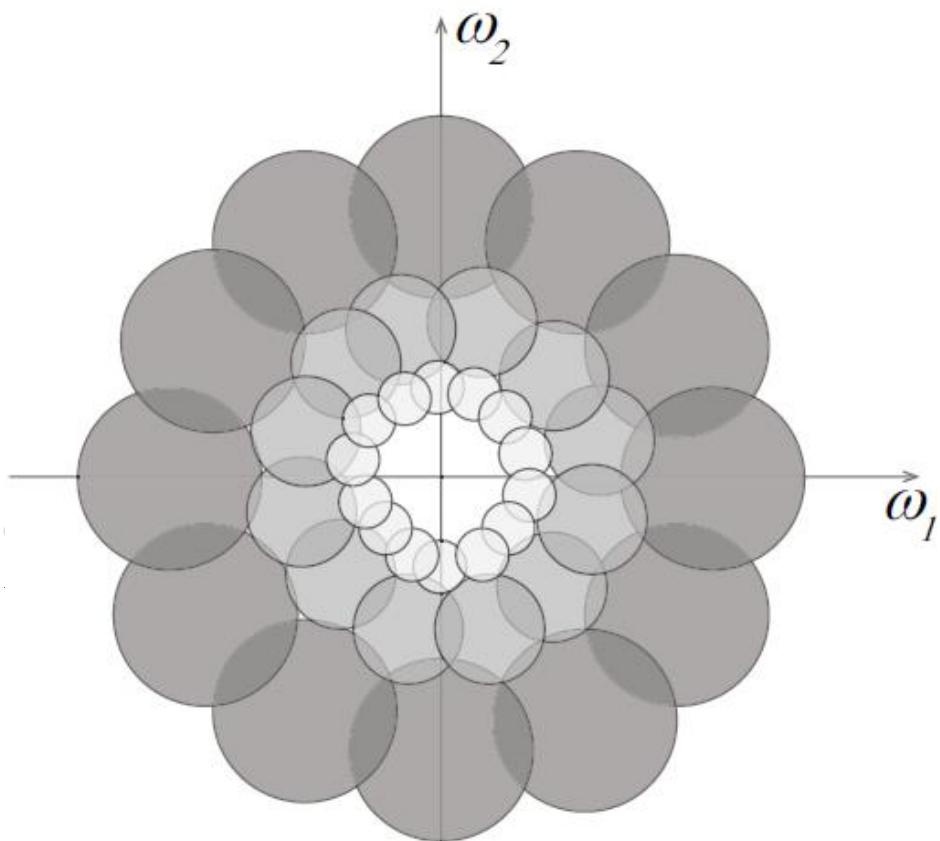
Fourier  $\updownarrow$  transform



Real parts of filter banks



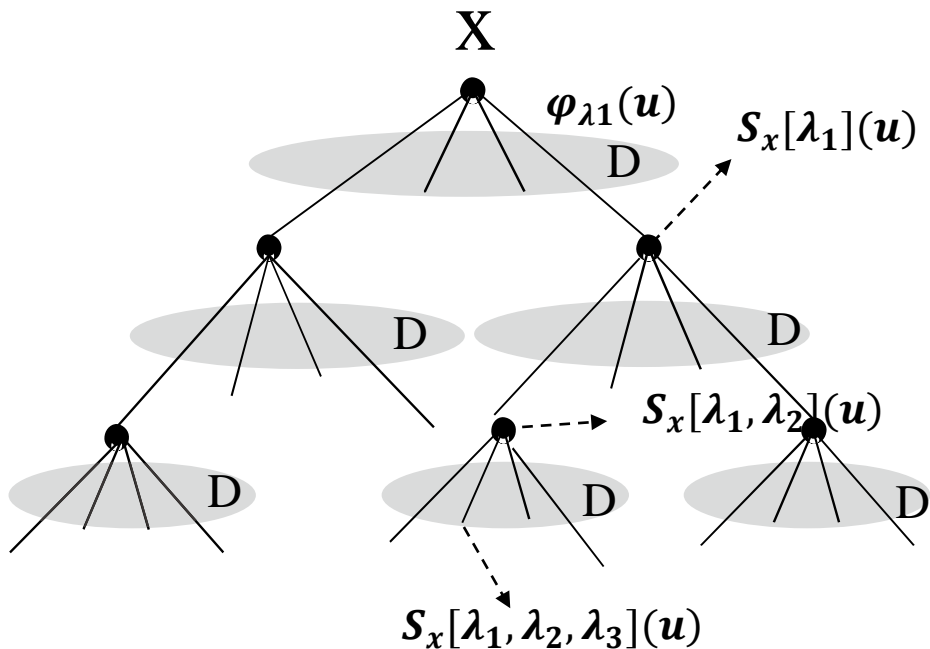
Imaginary parts of filter banks



# The Scattering Convolution Component

## ◆ *Scattering convolution network:*

### ● *Optimize structure*



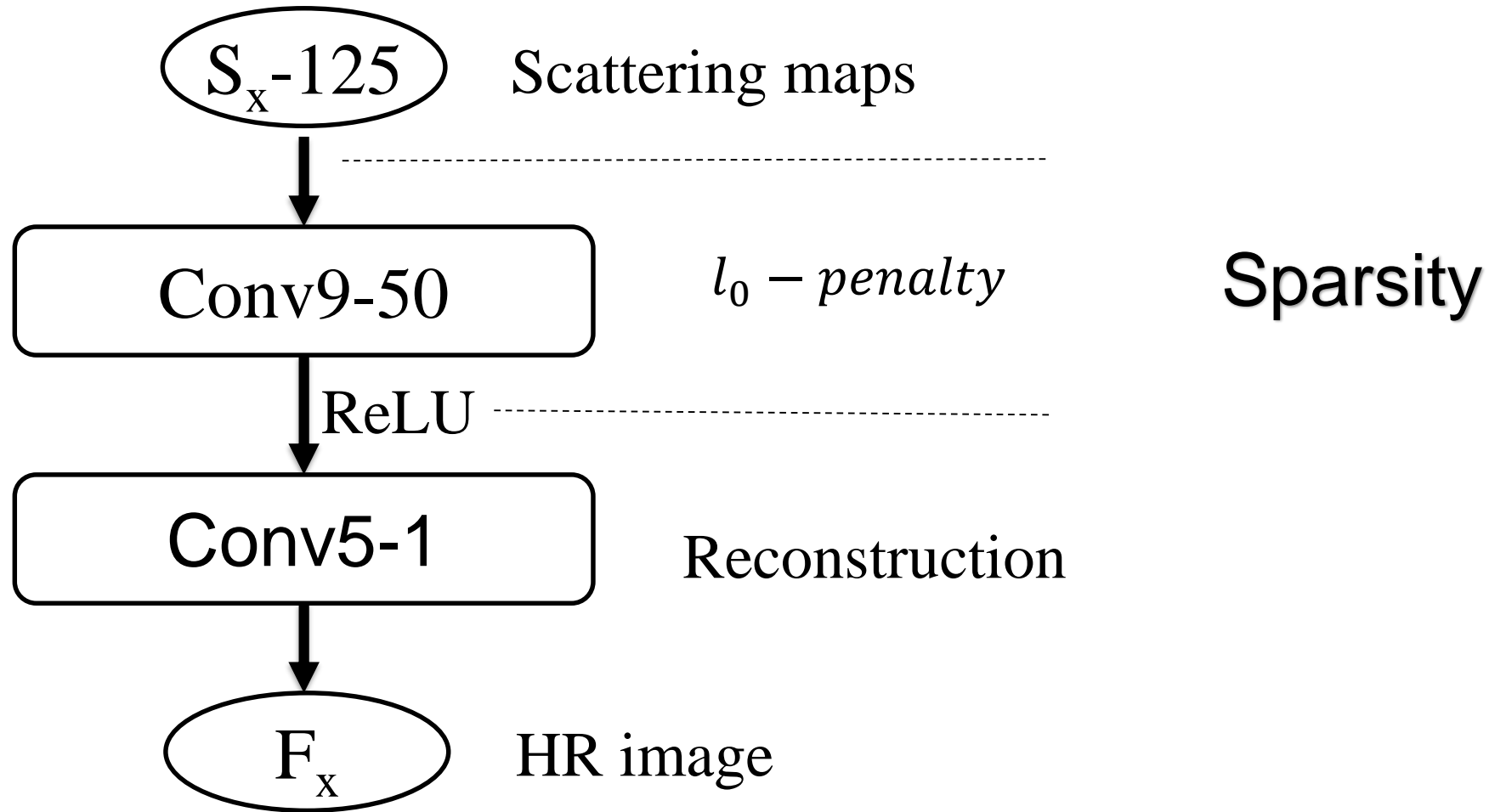
- Frequency decreasing path:

$$|\lambda_{i+1}| < |\lambda_i|$$

- Modulus operation removes oscillations  $\longrightarrow$   
shift signal to lower frequency  $\longrightarrow$  major energy  
of signal along frequency decreasing paths  $\longrightarrow$   
**pruning**

# The Convolution Neural Component

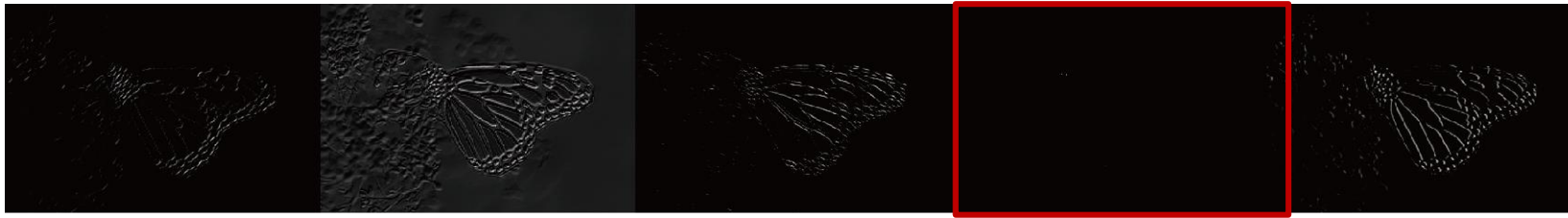
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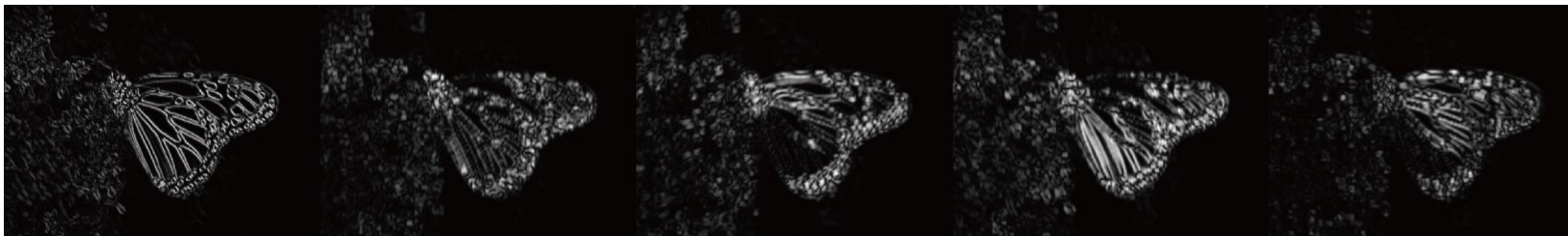
# Analysis

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## ◆ Relationship to CNNs



Feature maps extracted by the first layer of CNN [1].



Feature maps extracted by the scattering part.

## □ CNNs:

- Learned
- Some '*dead*' features

## □ HWCN:

- Predefined and learned
- Multiscale and multidirectional features
- Features can be selected based on scale, direction, and frequency property

[1] Dong C, Loy C C, He K, et al. Learning a deep convolutional network for image super-resolution[M]//Computer Vision–ECCV 2014. Springer International Publishing, 2014: 184-199

# Analysis

## ◆ Relationship to typical dictionary based methods:

$$x = D_l \alpha, y = D_h \alpha$$

- Dictionary based sparse coding methods:

- Training dictionary:

$$\min_{D_l, D_h} \frac{1}{2n} \sum_{i=1}^n \|D_h \alpha_i - y_i\|^2$$

$$s.t. \quad \alpha_i = \arg \min_z \|x_i - D_l \alpha_i\|^2 + \lambda \|z\|_1$$

- Sparse coding:

$$\alpha_i = \arg \min_z \|x_i - D_l \alpha_i\|^2 + \lambda \|z\|_1$$

- HWCN:

- Scattering convolution network:

- Predefined wavelet filter banks
- Produce sparse code candidates

*Analytic dictionary*  $\longrightarrow$  *Fast implementation*

- Convolution neural network:

- Learned from data
- Select and weight code candidates
- Reconstruct from sparse code

*Learned dictionary*  $\longrightarrow$  *Adaptivity*

- Forward and end-to-end

$D_l$

$D_h$

# Application to Image Super-Resolution

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## ◆ *Training set:*

91 natural images, the same as prior methods based on sparse representation [1~3].

## ◆ *Test sets:*

Set5 & Set14, the same as [3].

## ◆ *Loss function-MSE:*

$$L(\theta) = \frac{1}{n} \sum_{i=1}^n \|F_{xi}(\theta) - y_i\|^2 ,$$

where  $F_{xi}$ ,  $y_i$  represent reconstructed and ground truth HR image, respectively.

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- [1] Yang J, Wright J, Huang T S, et al. Image super-resolution via sparse representation[J]. Image Processing, IEEE Transactions on, 2010, 19(11): 2861-2873.  
[2] Zeyde R, Elad M, Protter M. On single image scale-up using sparse-representations[M]//Curves and Surfaces. Springer Berlin Heidelberg, 2012: 711-730.  
[3] Dong C, Loy C C, He K, et al. Learning a deep convolutional network for image super-resolution[M]//Computer Vision–ECCV 2014. Springer International Publishing, 2014: 184-199.

# Application to Image Super-Resolution

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Table 1. The result of PSNR (dB) on the Set5 dataset.

Set5	Scale	Bicubic	SC	K-SVD	NE+LLE	ANR	CNN	Proposed
baby	3	33.870	34.258	35.038	35.018	<b>35.092</b>	34.968	34.903
bird	3	32.648	34.266	34.689	34.678	34.724	35.052	<b>35.504</b>
butterfly	3	24.064	25.675	26.000	25.809	25.964	<b>27.677</b>	27.648
head	3	32.824	33.150	33.508	33.556	33.590	33.499	<b>33.703</b>
woman	3	28.654	30.073	30.451	30.335	30.444	31.007	<b>31.260</b>
average	3	30.412	31.484	31.937	31.879	31.963	32.441	<b>32.604</b>



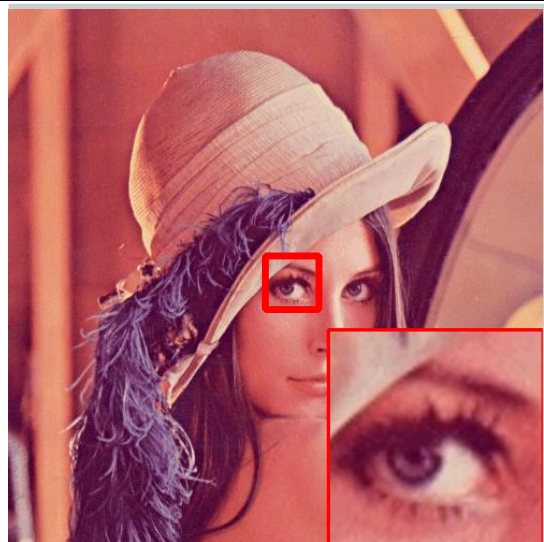
# Application to Image Super-Resolution

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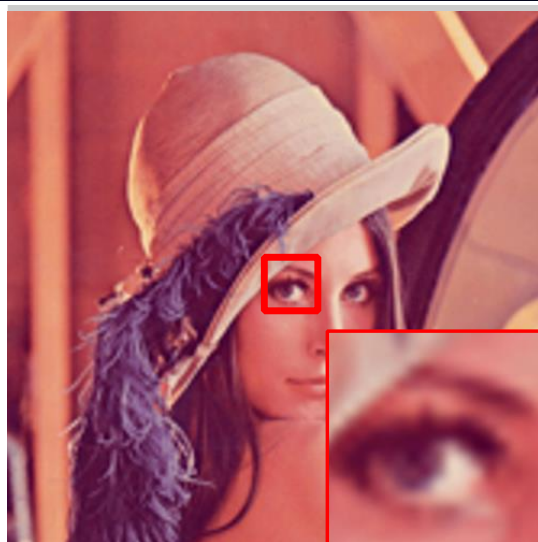
Table 2. Average performance (PSNR dB) and time (s) on the Set14 dataset.

Set14	Scale	Bicubic	SC	K-SVD	NE+LLE	ANR	CNN	Proposed
Avg PSNR	3	27.552	28.381	28.674	28.612	28.669	29.041	<b>29.171</b>
Avg time	3	-	80.8284	3.8231	4.9020	<b>0.7295</b>	2.1742	3.6492

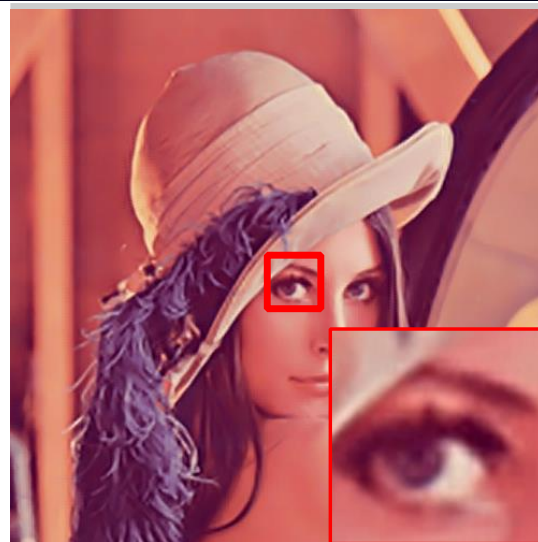
# Application to Image Super-Resolution



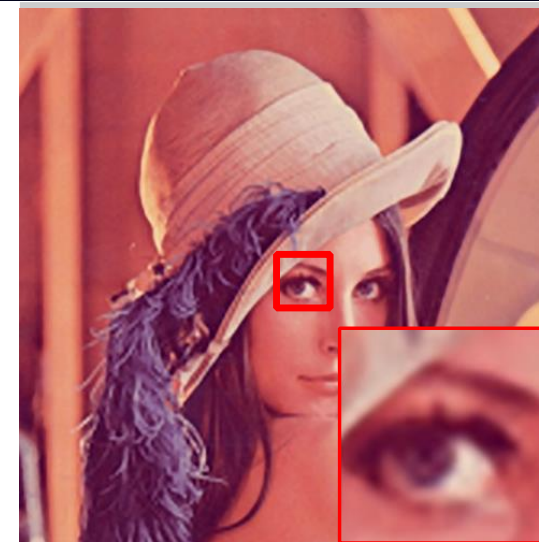
Original/PSNR



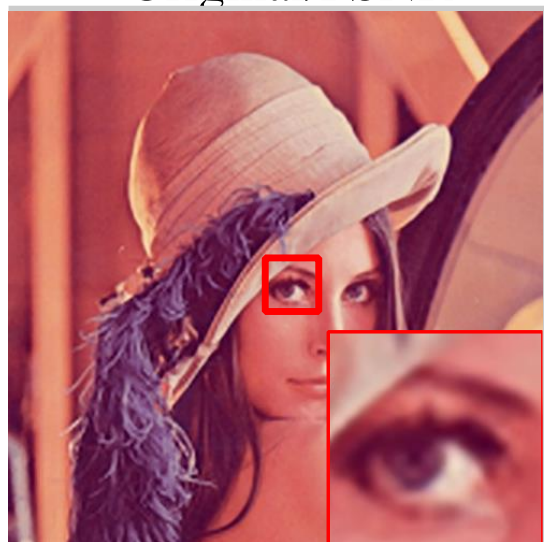
Bicubic/31.618dB



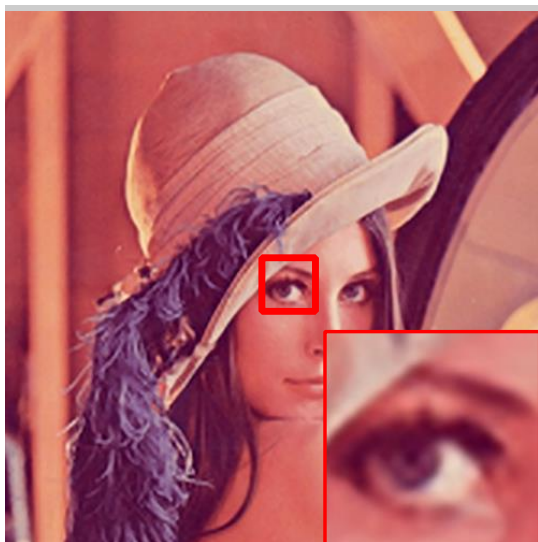
SC/32.601dB



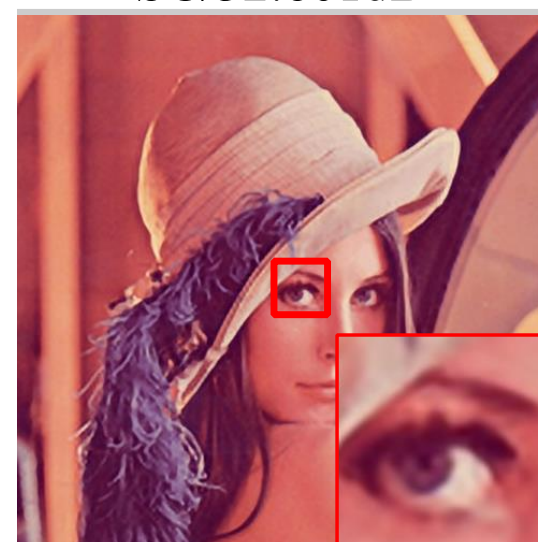
K-SVD/32.945dB



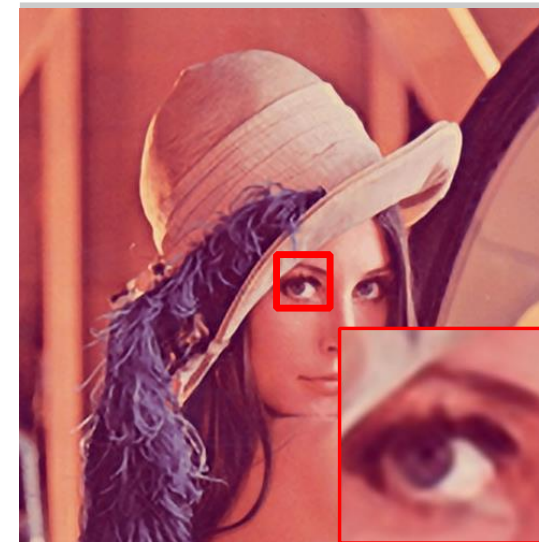
NE+LLE/32.958dB



ANR/33.027dB



CNN/33.344dB



Proposed/**33.472dB**

# Conclusion

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## ◆ The hybrid wavelet convolution network

= scattering convolution network + convolutional neural network

= analytic dictionary + learned dictionary

*Sparse & Multiscale*                      *Adaptive*

= predefined convolution kernel + trainable convolutional kernel

*Prior knowledge & Generalization*      *Flexible & Powerful*

## ◆ Follow-up

- Further optimize the structure of HWCN: contourlet?
- Application in other fields: image classification, recognition.

## Q & A



Thanks

# Application to Image Super-Resolution

Table 3. The result of PSNR (dB) on the Set14 dataset.

Set14	Scale	Bicubic	SC	K-SVD	NE+LLE	ANR	CNN	Proposed
baboon	3	23.210	23.482	23.523	23.558	23.569	23.605	<b>23.617</b>
barbara	3	26.191	26.348	<b>26.699</b>	26.680	26.635	26.597	26.513
bridge	3	24.427	24.855	25.045	25.005	25.036	25.104	<b>25.195</b>
coastguard	3	26.715	27.054	27.168	27.146	27.174	27.196	<b>27.208</b>
comic	3	23.045	23.844	23.882	23.901	23.966	24.307	<b>24.405</b>
face	3	32.759	33.086	33.475	33.517	33.566	33.525	<b>33.710</b>
flowers	3	27.151	28.172	28.350	28.304	28.413	28.894	<b>29.020</b>
foreman	3	31.667	33.336	33.743	33.805	33.850	34.339	<b>34.759</b>
lenna	3	31.618	32.601	32.945	32.958	33.027	33.344	<b>33.472</b>
man	3	26.978	27.738	27.873	27.839	27.895	28.150	<b>28.302</b>
monarch	3	29.348	30.646	31.029	30.872	31.016	32.316	<b>32.349</b>
pepper	3	32.435	33.390	34.130	33.879	33.905	34.447	<b>34.720</b>
ppt3	3	23.619	24.890	25.136	24.853	24.939	25.933	<b>26.133</b>
zebra	3	26.564	27.898	28.435	28.254	28.371	28.818	<b>28.984</b>
average	3	27.552	28.381	28.674	28.612	28.669	29.041	<b>29.171</b>